

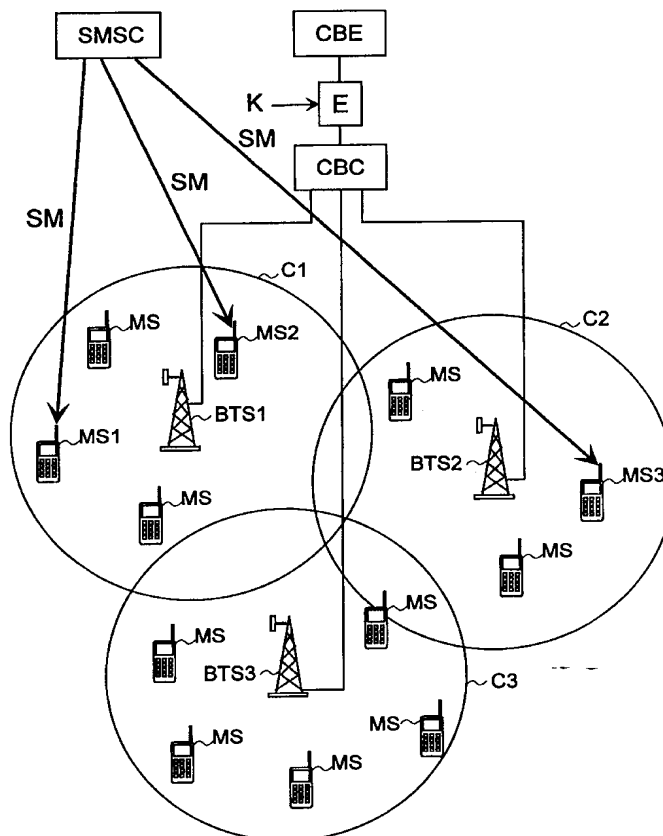


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/FI98/00759 <b>(22) International Filing Date:</b> 24 September 1998 (24.09.98) <b>(30) Priority Data:</b> 973772 24 September 1997 (24.09.97) FI <b>(71) Applicant (for all designated States except US):</b> NOKIA TELECOMMUNICATIONS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI). <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> RAIVISTO, Tommi [FI/FI]; Liusketie 16 I 54, FIN-00710 Helsinki (FI). <b>(74) Agent:</b> PATENT AGENCY COMPATENT LTD.; P.O. Box 156, FIN-00511 Helsinki (FI).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished          upon receipt of that report.</i>

**(54) Title:** MULTICAST TRANSMISSION IN A RADIO NETWORK**(57) Abstract**

The invention related to a method for multicast in a radio network, which includes at least one base station (BTS) and a plurality of mobile terminals (MS). The method comprises the steps of: broadcasting the broadcast message from at least one base station (BTS), receiving the broadcast message at mobile terminals (MS). It is characteristic for the method according to the invention that it further comprises the steps of: formulating at least one broadcast message as a multicast message, delivering a token needed to recover the information of the multicast message to a selected first group of mobile terminals (MS1, MS2, MS3), which group includes at least two mobile terminals, and using the delivered token at the said group of mobile terminals to allow the reception and recovery of the multicast messages.



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## **Multicast transmission in a radio network**

### **Field of the invention**

The invention relates to a multicast service providing message delivery to selected subscribers in a radio network.

### **Background of the invention**

In the digital GSM mobile communications system (Global System for Mobile communications), in addition to speech and data connections a short message service is implemented. Short messages are transmitted along control and signaling channels with other signaling of the network. Short message services can be divided into point-to-point and cell broadcast services. Point-to-point short messages are either mobile originating or mobile terminating. Cell broadcast short messages are transmitted from base stations and are intended for all mobile stations in the coverage area of the transmission. Examples of cell broadcast services are traffic and weather information delivery.

Figure 1 of the attached drawing shows a simplified block diagram of the GSM mobile communications system. The mobile station MS is connected via a radio path to a base transceiver station BTS, in Figure 1, to the base station BTS1. A base station sub-system BSS consists of a base station controller BSC and, the base stations BTS controlled by it. A mobile services switching center MSC usually controls several base station controllers BSC and is connected to other mobile services switching centers and a GMSC (Gateway Mobile Services Switching Center). Via the GMSC, the GSM network is connected to other networks, such as the PSTN (Public Service Telephone Network), another mobile communication network PLMN, the ISDN network, the intelligent network IN, or the short message service center SMSC. The operation of the entire GSM system is monitored by the operation and maintenance center OMC.

Figure 2 of the attached drawing illustrates three cells C1, C2, and C3, which offer cell broadcast messages to all the mobile stations MS located in these cells, including the one marked MS1. It would also be possible to transmit cell broadcast messages only from some cells, for example, from cells C1 and C2. The cell broadcast messages are created by a cell broadcast entity CBE, a news agency, for example, and they are transmitted to

mobile stations MS via an appropriate mobile communications network. The CBE transfers the cell broadcast message to a cell broadcast center CBC connected with the BSC in the GSM network, in this example. The cell broadcast center CBC controls the message transmission on the cell broadcast channels of individual BTSs, and transmits via the BSC the messages to each BTS addressed. The cell broadcast is transmitted across the air interface in the cell broadcast channel BCCH of the BTS or in paging channels PCH. Cell broadcast is intended for all receiving mobile stations, therefore it is not addressed nor encrypted. For a more detailed description of cell broadcast, a reference is made to the GSM-specification GSM 03.41 "European digital cellular telecommunication system (Phase 2); Technical realization of Short Message Service Cell Broadcast (SMSCB)".

In order to implement the point-to-point short message service, the GSM network is connected to the short message service center SMSC. The SMSC forms a connection between a particular short message network and the GSM network by transferring the short message from outside the GSM network to a mobile subscriber and from one mobile subscriber to another or to some other unit outside the GSM network that is able to receive short messages. A mobile terminating short message is routed from the short message network via the short message service center SMSC to the MSC of the GSM network and from there via the appropriate BSC and BTS to the mobile station MS, i.e. via BTS1 to MS1 in Figures 1 and 2. Accordingly, a mobile originating short message is transmitted from the MS via BTS and BSC to the MSC which transfers the short message from the GSM network to the SMSC, in order to be transmitted to the appropriate short message network. Short messages are transferred transparently in the network between the short message service center SMSC and the mobile station MS.

The confidentiality of the transmission is sometimes arranged with ciphering, for example. Figure 3 of the attached drawing shows a block diagram of a known symmetric cipher algorithm which can be used to protect transmission. The symmetric ciphering algorithm is based on a secret key shared between the communicating parties. At party A's end the message M to be sent to party B is encrypted in box E in Figure 3 with the shared secret key K. The message is sent over a transmission route as the encrypted cipher text C, which party B can decrypt in box D shown in Figure 3 with the same secret key K. Through decryption party B gets the original message M.

An intruder eavesdropping transmission needs to know the secret key  $K$  in order to be able to read and understand the transmitted cipher text  $C$ . The encryption and decryption of the symmetric algorithm can be expressed by the equations:

5            $C = E_K(M)$   
           $M = D_K(C),$

          where  $C$  is the cipher text,  $M$  is the message in plain text,  $E_K$  is the encryption with key  $K$ , and  $D_K$  is the decryption with key  $K$ . Presently ciphering is not applied to the short messages routed through the GSM network  
10   except in the air interface.

          The problem with known short message services is that messages are either transmitted to all mobile stations in the coverage area of the transmission (cell broadcast) or with dedicated transmission to one addressed mobile station at a time (point-to-point). Neither of these transmission methods is useful for transmitting messages to a selected group of mobile stations, as prior art cell broadcast cannot be directed only to some of  
15   the mobile stations, and transmitting the same message with several point-to-point short messages to a group of mobile stations causes too much signaling in the network and requires a relatively long time to provide all the recipients with the message.  
20

### Summary of the invention

          The object of this invention is to provide multicast transmission to a selected group of mobile stations in a way that minimizes the signaling in the  
25   network.

          This is achieved by using a method according to the invention characterized by what is stated in the independent claim 1. Special embodiments of the invention are presented in the dependent claims.

          The invention relates also to an arrangement characterized by  
30   what is stated in the independent claim 11. Special embodiment of the invention is presented in the dependent claim 12.

          The invention is based on the idea that a broadcast message is used for multicast transmission and a token is needed at the mobile terminal to recover the information sent in this broadcast message intended for multicast. The token is delivered to selected mobile terminals in a point-to-point  
35   short message, for example. In the primary embodiment of the invention, the

cell broadcast messages intended for multicast are encrypted and the keys for decryption are delivered only to those mobile terminals entitled to receive the multicast messages. The mobile stations store the delivered token, which is in this case the cryptographic key, in their memory, in the SIM card, for example. In the secondary embodiment of the invention, selected mobile terminals are enabled to receive and recover the multicast messages. The token delivered is a command removing the restriction in order to recover the multicast transmission at the mobile terminal. For example, the command might be an instruction to start to listen to the multicast or an instruction to tune in to a certain channel.

The advantage of the method according to the invention is that it provides a way to implement a short message service to a selected group of mobile terminals on a subscription basis.

Another advantage of the method according to the invention is that it uses network capacity sparingly, since the information can be delivered to several mobile terminals with only one short message.

The advantage of the arrangement according to the invention is that the token delivery and the recovery of the multicast messages is transparent for the mobile subscriber.

### **Brief description of the drawings**

The preferred embodiments of the invention will now be described with reference to the attached drawings, in which

- Figure 1 shows the parts of the mobile communication network that are essential for the invention;
- Figure 2 shows a prior art cell broadcast network;
- Figure 3 shows a known symmetric ciphering algorithm as a block diagram;
- Figure 4 shows a multicast network according to the primary embodiment of the invention;
- Figure 5 shows the functional parts of the mobile station according to the primary embodiment of the invention;
- Figure 6 shows the primary embodiment of the invention as a flowchart;
- Figure 7 shows a multicast network according to the secondary embodiment of the invention; and
- Figure 8 shows the secondary embodiment of the invention as a flowchart.

### Detailed description of the invention

The present invention can be applied to any radio network. The invention will be described below in more detail mostly by using the digital mobile communications system GSM as an example. Figure 1 shows the simplified structure of a GSM network as described earlier. For a more detailed description of the operation and structure of a GSM system, a reference is made to GSM specifications and to the book: "The GSM System for Mobile Communications", M. Mouly & M. Pautet, Palaiseau, France, 1992, ISBN:2-9507190-0-7.

In the following, the primary embodiment of the invention is described in more detail with reference to Figures 4, 5, and 6. In the primary embodiment of the invention, the token needed for multicast message recovery is a cryptographic key. This cryptographic key is used to encrypt the multicast message before transmission and to decrypt the multicast message at selected mobile stations.

Figure 4 shows an example of a multicast network with cells C1, C2, and C3. In each of these cells one of the base stations BTS1, BTS2, BTS3 serve mobile stations MS, MS1, MS2, MS3 in the area of the corresponding cell. In the network in Figure 4, mobile subscribers can subscribe to a special service, such as top ten news delivery, implemented with the multicast method according to the invention. For example, in Figure 4 mobile stations MS1, MS2, and MS3 have indicated, by a telephone call, a point-to-point short message or by paying a subscription fee to the service provider's bank account, for example, that they wish to subscribe to this special service. According to the invention, the short message service center SMSC delivers to the group of special service subscribers MS1, MS2, and MS3 a point-to-point short message including a cryptographic key K for this special service. These point-to-point messages, marked with SM in Figure 4, are delivered to the mobile stations via the GSM network as described earlier in connection with prior art. For clarity reasons this short message routing is not shown in Figure 4. The cryptographic key K according to the invention is stored advantageously in the SIM card of the mobile stations MS1, MS2, and MS3. Another alternative is to store the cryptographic key K in other parts of the mobile station, in the mobile equipment part, for example.

In Figure 4 the cell broadcast entity CBE initiates cell broadcast messages according to prior art. Those cell broadcast messages intended

for multicast delivery are, according to the invention, encrypted in unit E with the cryptographic key K. The cryptographic algorithm used can be any symmetric cipher algorithm, for example. The encryption unit for encrypting the multicast messages can be located at the CBE, for example. The cell broadcast center CBC controls the cell broadcasts of base stations BTS1, BTS2, and BTS3 and sends cell broadcast messages to the base stations addressed. The base stations broadcast these encrypted cell broadcast messages. All the mobile stations located in the area of cells C1, C2, C3 receive the encrypted cell broadcast message, but only the mobile stations which subscribed to this special service can decrypt and read the messages.

Figure 5 shows the primary embodiment of one mobile station MS1 according to the invention. The functionality of the other mobile stations MS2 and MS3 realizing the primary embodiment of the method according to the invention is similar to that of MS1. According to the invention, MS1 stores the cryptographic key K received in a point-to-point short message in a SIM card for later retrieval. When receiving an encrypted cell broadcast message C, the MS1 identifies the message and chooses the correct cryptographic key K from the memory, as located in the SIM card, for example. The MS1 decrypts the received message C in box D with the chosen key K and gets the short message M in plain text form. From the subscriber's point of view, the key delivery and the decryption of the multicast messages is fully transparent.

In case the same mobile station MS subscribes to several special services, there is need to deliver several cryptographic keys to the mobile station. These cryptographic keys must be identified in order to apply them for the right encrypted messages. For example, an encrypted message can be identified using the message identifier field of the message. This field identifies the source and type of the message. The message identifier field is not encrypted. Based on this message identifier value, the mobile station then chooses the cryptographic key K corresponding to the special service that the message represents.

Figure 6 shows the method according to the primary embodiment of the invention as a flowchart. At stage 61 in Figure 6, the cryptographic key K for decryption is delivered to the selected mobile stations, with a point-to-point short message. The cell broadcast message intended for multicast is encrypted with the cryptographic key K (stage 62). After that the encrypted



message is broadcast from the base stations addressed (stage 63) and received in mobile stations located in the coverage area of the transmission. The selected mobile stations choose the cryptographic key K corresponding to the encrypted message received (stage 64) and decrypt the message with this correct key K (stage 65) after which the message is legible and understandable in plain text form.

Figure 7 shows an example of a multicast network according to the secondary embodiment of the invention. As described above in connection to Figure 4 of the primary embodiment of the invention, the mobile stations MS1, MS2, and MS3 have indicated that they wish to subscribe to the special service provided with the multicast method according to the invention. In the secondary embodiment of the invention, the short message service center SMSC delivers to the group of special service subscribers MS1, MS2, and MS3 a point-to-point short message which includes a command enabling the mobile station to start to recover the transmission of the special service in multicast. This command may include instructions to tune in to the channel of the transmission and/or a message which acts as a kind of password in allowing the mobile station to recover the multicast service. These point-to-point short messages are marked with SM in Figure 7. The multicast message is initiated, routed, and broadcast in the same way as a cell broadcast message described in connection with prior art.

In the secondary embodiment of the invention, the restriction to utilize the cell broadcast message intended for multicast is at the mobile station. All the mobile stations in the coverage area of the transmission are able to listen to this special multicast transmission but only the mobile stations MS1, MS2, MS3 subscribing to the multicast service are able to recover the information of this multicast by the special enabling point-to-point short message SM. Therefore, other mobile stations marked with MS in Figure 7 are not able to recover the information in the multicast message.

Figure 8 shows the method according to the secondary embodiment of the invention as a flowchart. In the secondary embodiment of the invention, the recovery of the information in the multicast message is made possible at selected mobile stations with a special command. At stage 81 in Figure 8 the SMSC transmits the enabling point-to-point short message to the group of selected mobile stations. The addressed base stations broadcast the multicast message (stage 82) in the same way as a prior art cell

broadcast message. This message is received and recovered at the selected mobile stations (stage 84).

5 The token delivery messages of the primary and secondary embodiment of the invention can be encrypted or otherwise provided connection security.

10 In the other embodiments of the invention, instead of point-to-point short message delivery, the token can be delivered to the selected mobile stations by mail or by manual implantation via the keyboard, for example. The token delivered, such as the cryptographic key K used to encrypt cell broadcast messages, can be changed periodically for security or invoicing purposes, for example. The new token is delivered to active subscribers, i.e. to subscribers who have paid their renewal fees, for example. The old token expires when the new token is delivered. The new token is then necessary for multicast message recovery. Otherwise the other embodiments of the invention correspond to the primary or secondary embodiments described above.

20 The drawings and the related description are only intended to demonstrate the principles of the invention. The details of the method according to the invention can vary within the patent claims. The invention is not restricted for use only in connection with GSM network as presented above in the examples, but can be applied to any radio network. Therefore, the mobile station of the examples above should be understood to be any mobile terminal in any radio network. Although the invention was described above mostly in connection with cell broadcast messages in the GSM network, the invention can be applied to any messages transmitted in an area for all the mobile terminals located in that area, point-to-multipoint messages in GPRS (General Packet Radio Service), for example. The functionality according to the primary embodiment of the invention is also suitable for use with cryptographic algorithms other than the symmetric algorithm described above.

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### Claims

1. A method for multicast in a radio network, which includes at least one base station (BTS) and a plurality of mobile terminals (MS), wherein the method comprises the steps of:

5               broadcasting the broadcast message from at least one base station (BTS),

                  receiving the broadcast message at mobile terminals (MS),  
                  characterized in that the method further comprises the steps

of:

10               formulating at least one broadcast message as a multicast message,

                  delivering a token needed to recover the information of the multicast message to a selected first group of mobile terminals (MS1, MS2, MS3), which group includes at least two mobile terminals, and

15               using the delivered token at the said group of mobile terminals to allow the reception and recovery of the multicast messages.

2. A method according to claim 1, characterized in that the method comprises the steps of:

20               delivering a cryptographic key (K) to a selected first group of mobile terminals (MS1, MS2, MS3), which group includes at least two mobile terminals,

                  encrypting a broadcast message with the cryptographic key (K) before broadcasting it,

25               decrypting the broadcast message at mobile terminals of the selected group (MS1, MS2, MS3) with the delivered cryptographic key (K).

3. A method according to claim 2, characterized in that the method comprises the step of:

                  delivering the cryptographic key (K) to the group of mobile terminals (MS1, MS2, MS3) with point-to-point short messages (SM).

30               4. A method according to claim 2 or 3, characterized in that the method further comprises the step of:

                  storing the cryptographic key (K) at mobile terminal.

5. A method according to claim 2 or 3, characterized in that the method further comprises the step of:

35               storing the cryptographic key (K) at mobile terminal's SIM card.

6. A method according to claim 2, characterized in that the method further comprises the steps of:

changing the cryptographic key (K) and

5 delivering the new cryptographic key to a selected second group of mobile terminals.

7. A method according to claim 6, characterized in that the selected second group of mobile terminals differs from the selected first group of mobile terminals.

8. A method according to one of the claims 1 to 3, characterized in that the method further comprises the steps of:

10 identifying the multicast message by a message identifier that is attached to the message and

choosing the cryptographic key (K) relating to the message based on the message identifier of the multicast message.

9. A method according to claim 1, characterized in that the method comprises the steps of:

15 delivering an enabling command to a selected group of mobile terminals (MS1, MS2, MS3), which group includes at least two mobile terminals, and

20 enabling the reception and recovery of the multicast message at mobile terminals which have received the enabling command.

10. A method according to claim 9, characterized in that the method comprises the step of:

25 delivering the enabling command to the group of mobile terminals (MS1, MS2, MS3) with point-to-point short messages (SM).

11. A method according to claim 9 or 10, characterized in that the method comprises the step of:

30 enabling the reception and recovery of the multicast message at said group of mobile terminals by tuning the mobile terminals to the correct channel.

12. An arrangement for multicast in a radio network, the arrangement comprising:

a message source (CBE) for generating the broadcast messages,

35 a broadcasting entity (CBC) for controlling the broadcasting of the broadcast messages,

base stations (BTS1, BTS2, BTS3) which broadcast the broadcast messages,

mobile terminals (MS) which receive the broadcast messages, characterized in that the arrangement further comprises:

5 a delivery unit (SMSC) for delivering a token to some mobile terminals,

an enabling unit (D) for enabling the multicast message reception and recovery at the mobile terminal.

10 13. An arrangement according to claim 12, characterized in that the arrangement comprises:

a delivery unit (SMSC) for delivering a cryptographic key (K) to some mobile terminals,

a storage unit (SIM) for storing the delivered cryptographic key (K) at the mobile terminal, and

15 an encryption unit (E) for encrypting the broadcast messages intended for multicast,

a decryption unit (D) at the mobile terminal for decrypting the encrypted broadcast messages.

20 14. An arrangement according to claim 12 or 13, characterized in that the broadcast messages are cell broadcast messages.

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Fig. 1

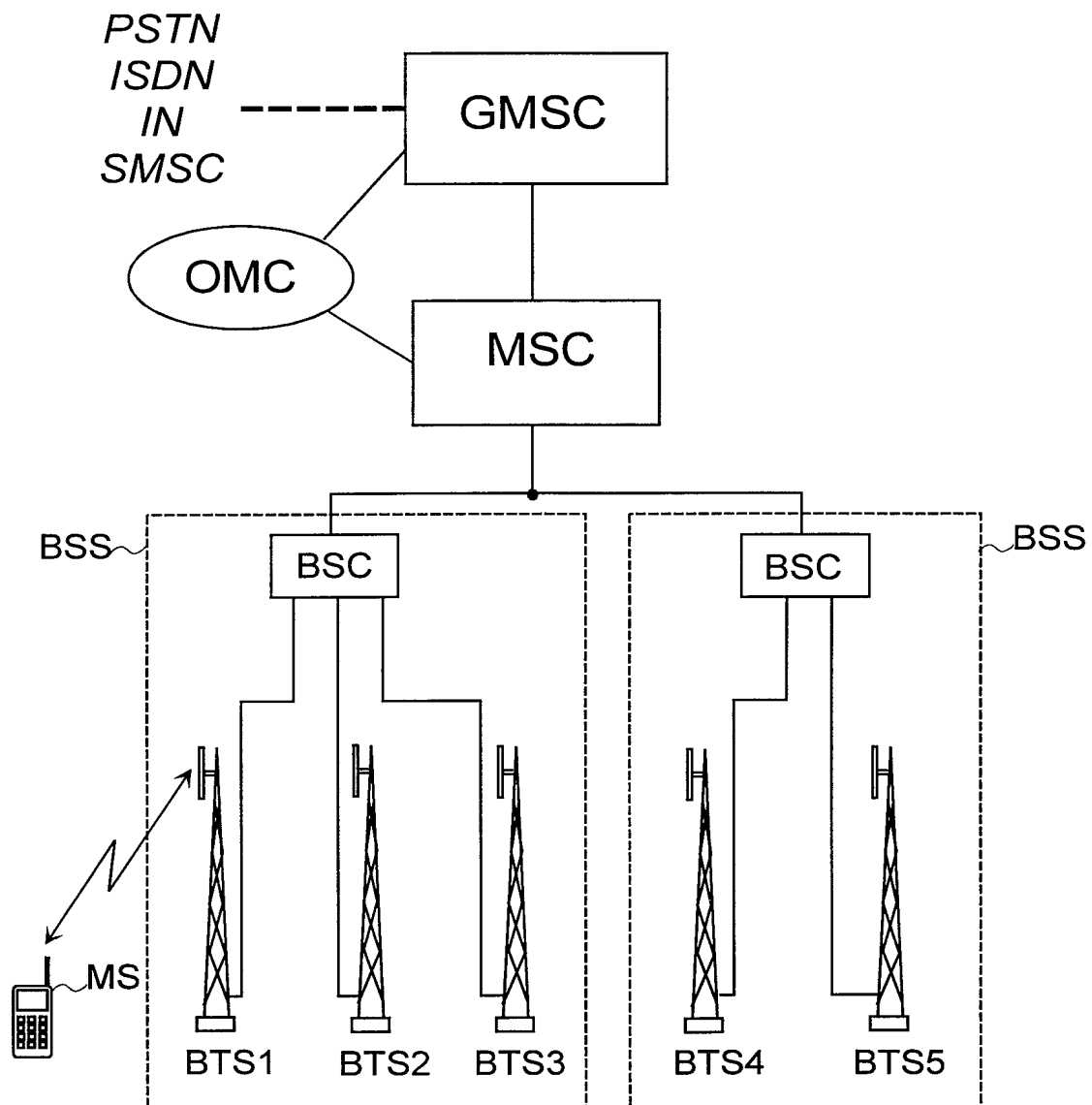
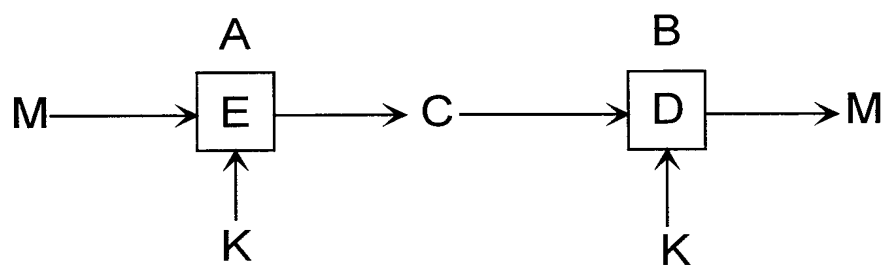


Fig. 3



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Fig. 2

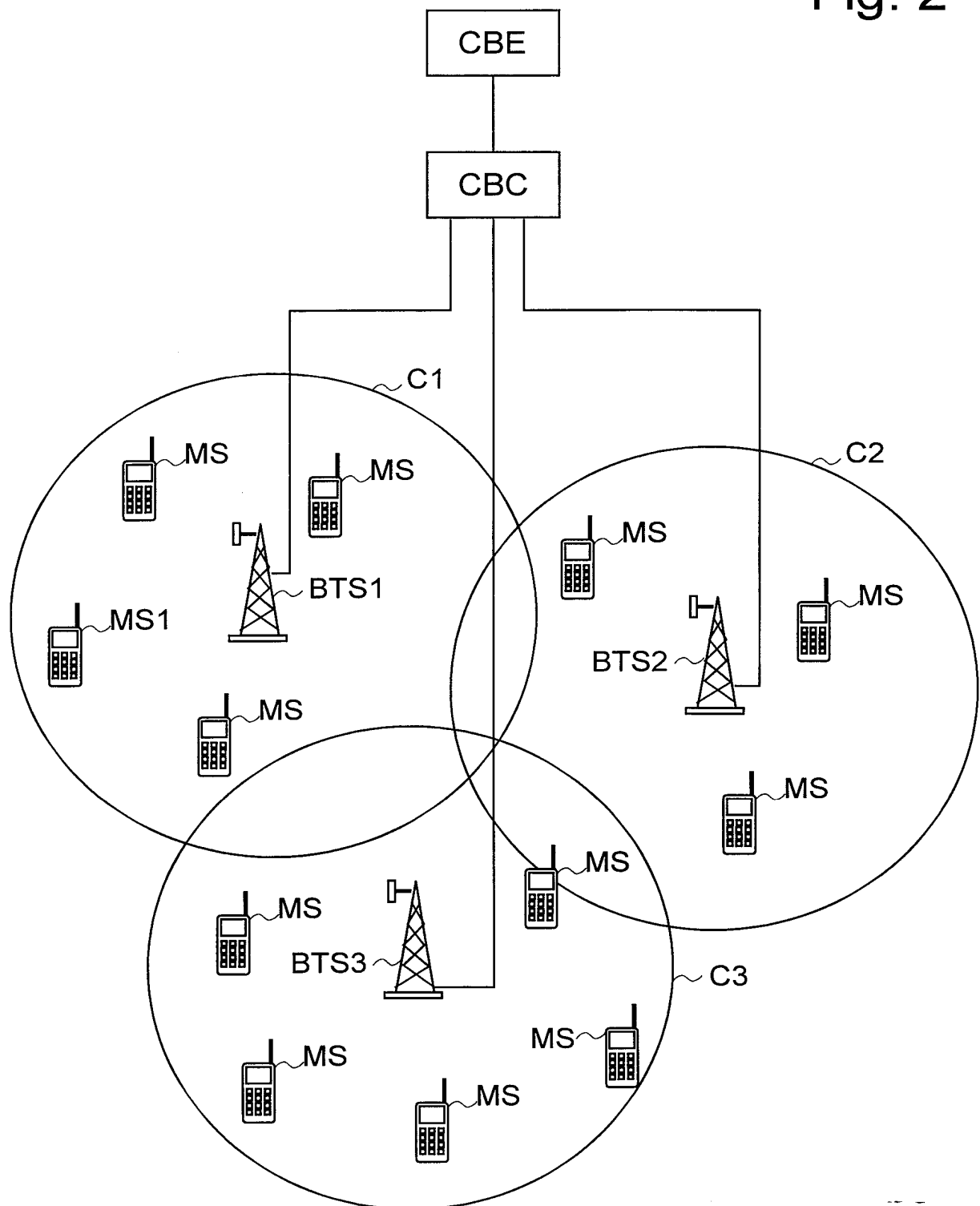
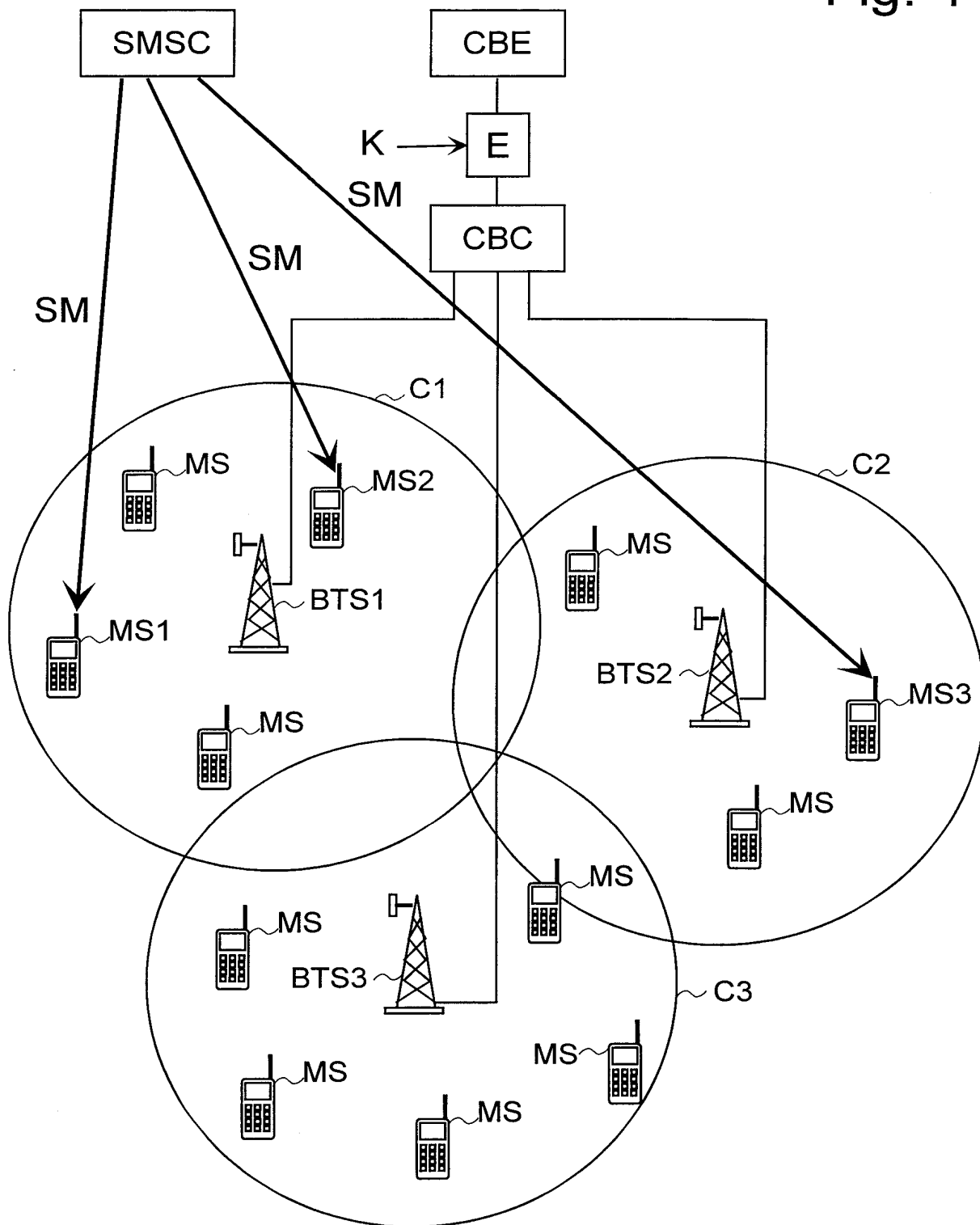


Fig. 4





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Fig. 5

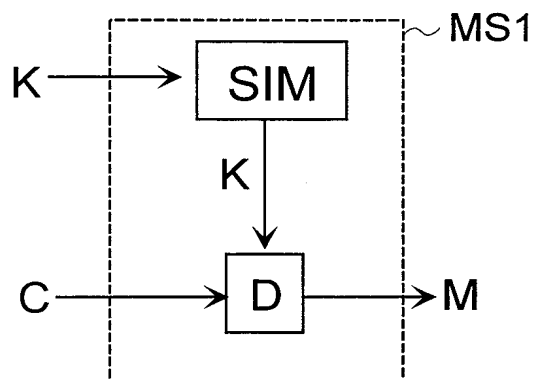


Fig. 6

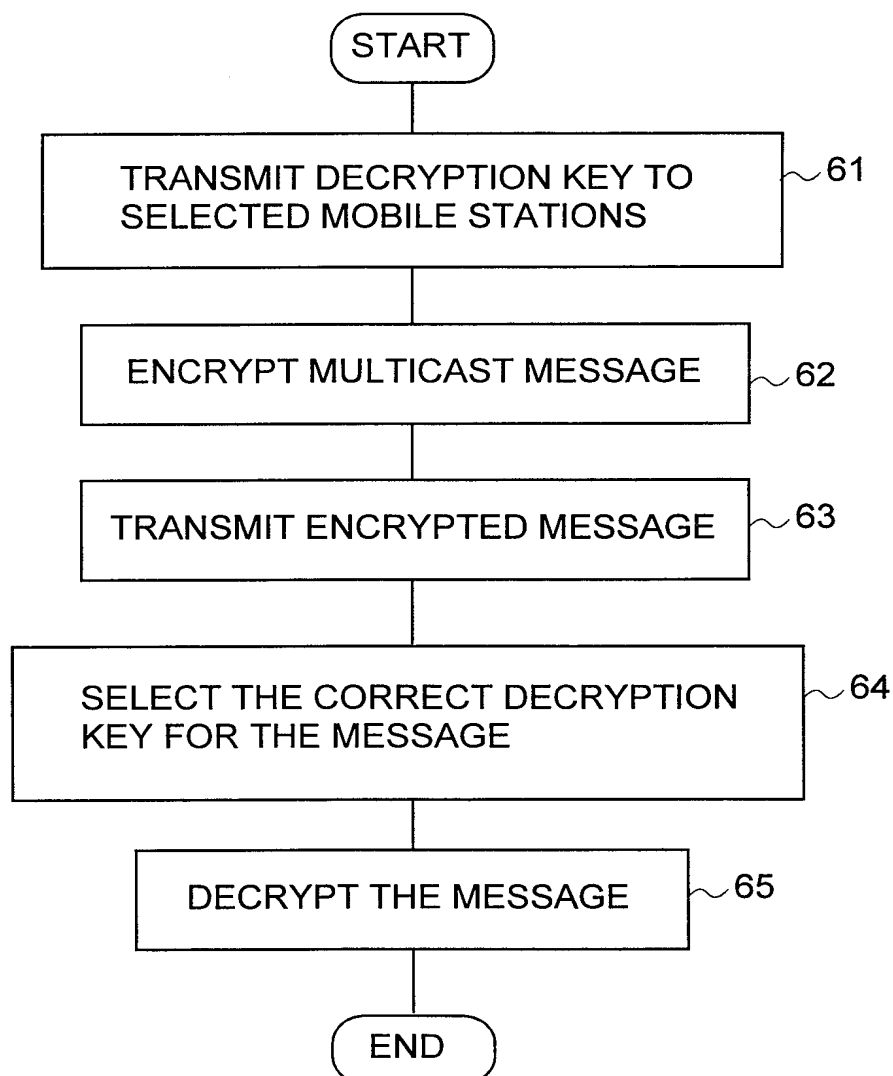
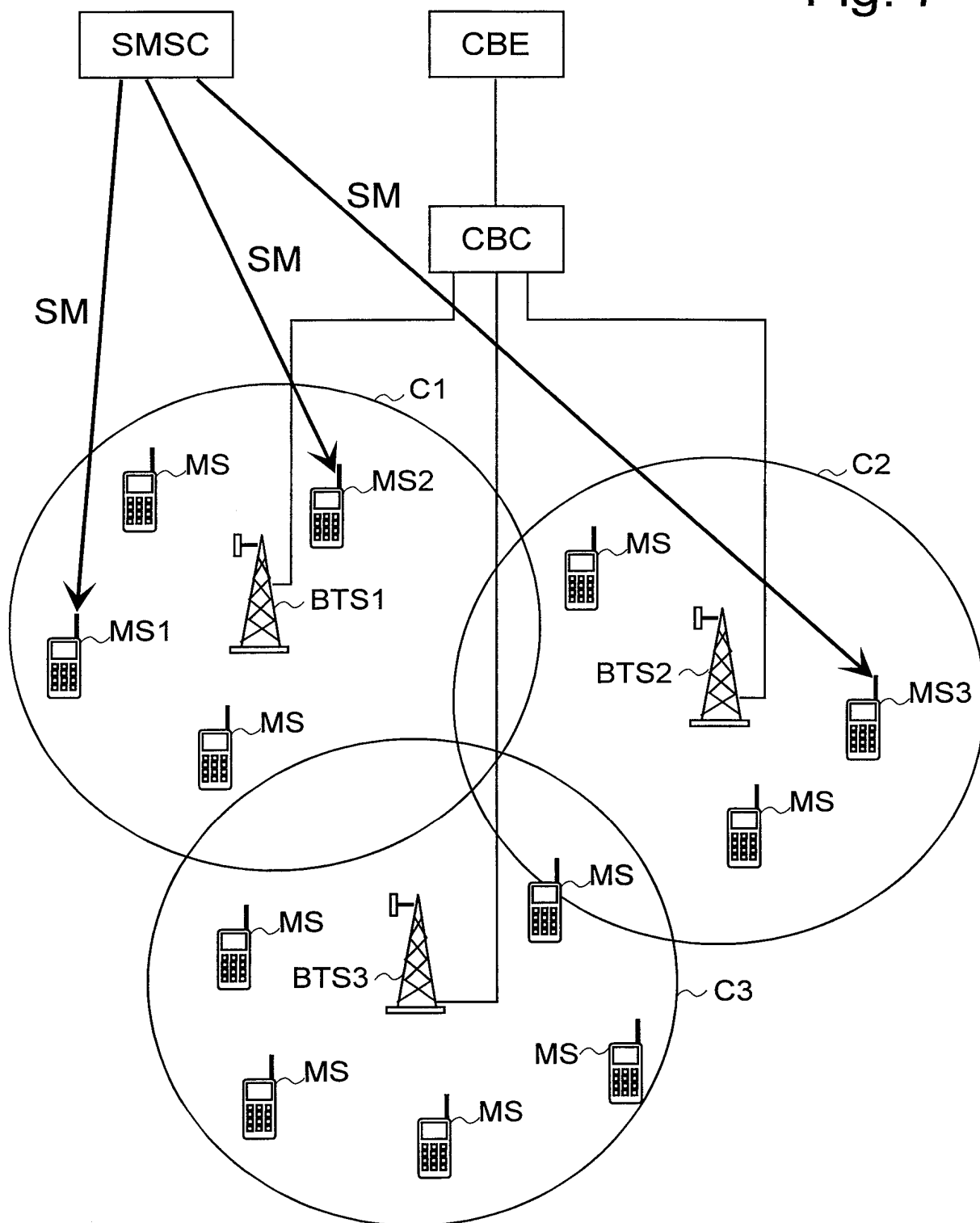


Fig. 7



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Fig. 8

